

MASS WASTING

12.1 INTRODUCTION

Mass wasting is a general term for a variety of processes by which large masses of earth materials are moved under gravity, either slowly or quickly, from one place to another. The term landslide is commonly used to denote the downward and outward movements of slope-forming materials along surfaces of separation by falling, sliding, and flowing at a faster rate. Although landslides are primarily associated with mountainous regions they can also occur in areas of low relief, especially in surface excavations for highways, buildings and open-pit mines. The geological history and human activities often cause unstable conditions that lead to slope failures.

12.2 TYPES OF MASS MOVEMENT

Table 12.1 shows the classification of slope movements (Varnes 1978) on the basis of the nature of the movement and the type of material involved in the process. Tables 12.2, 12.3, and 12.4 show the nature of hill slope processes in mountainous terrain along with geotechnical aspects, surveys, and remedial works.

12.2.1a *Falls*

Falls are abrupt movements of slope materials that become detached from steep slopes or cliffs. Movements occur by free fall or a series of leaps and bounds down the steep slope. The relatively free character and lack of a slide plane differentiates the rockfall and rockslide. Depending upon the type of slope materials involved, it may be a rockfall, soil fall, debris fall, earthfall, boulder fall and so on (Table 12.2).

12.2.1b *Topples*

A topple is a block of rock that tilts or rotates forward on a pivot or hinge and then separates from the main mass falling on the slope and subsequently bouncing or rolling down the slope.

12.2.1c *Slides*

The term slides refers to the mass movements with a distinct surface of rupture or zone of weakness separating the slide material from the more stable underlying materials (Table 12.2 and 12.3). The two major types of slides are **rotational** and **translational** slides.

Rotational Slides

Rotational slides occur on slopes of homogeneous clay or shale and soil slopes. The slide movement is more or less rotational about an axis that is parallel to the contour of the slope. The scarp at the head may be almost vertical, while the toe bulges upwards and sometimes flows out.

Translational Slides

Translational slides are mass movements on a more or less planar surface. The movement of translational slides is controlled by surfaces of weakness such as bedding planes, joints, and faults. Slide materials may range from loose unconsolidated soils to slabs of rock.

Block Slides

Block slides are translational slides in which the moving mass consists of a single unit of rock block that moves down slope.

12.2.1d Spreads

The failure in this case is caused by liquefaction, the process whereby saturated, loose, cohesionless sediments are transformed into a liquefied state. Rapid ground motions such as earthquakes usually trigger the failure.

12.2.1e Flows

Creep

A creep is an imperceptibly slow, steady, downward movement of slope forming soil or rock. The movement is essentially viscous enough to produce permanent deformation but too small to produce failures as in landslides. A creep is indicated by curved tree trunks, bent fences or retaining walls, tilted poles, and small soil ripples or ridges.

Debris Flow

Debris flow is a form of rapid mass movement involving loose soil, rocks, and organic materials along with entrained air and water to form a slurry that flows downslope. In general, five conditions are important for the debris flow to occur. They are :

- i) steep slopes
- ii) loose rock and soil materials,
- iii) clay minerals,
- iv) saturated soils, and
- v) rainfall or snowmelt generated runoff of sufficient intensity and duration to initiate slope movements. For more details, refer to Section 13.3.3 in Chapter 13.

Debris Avalanche

A debris avalanche is a type of very rapid to extremely rapid debris flow.

Earth Flows

Earth flows have a characteristic bowl-like depression at the head where the slope material becomes liquefied and flows out. The flow is usually channelised on the slope and spreads out at the toe. The flow generally occurs in fine-grained materials or clayey rocks under saturated conditions.

Mudflows

Mud flows are a type of earth flow consisting of material containing about 50 per cent of sand, silt, and, clay-sized particles that are well saturated and flow rapidly.

12.2.1f Complex Movements

Slope movements involving two or more principal types of movement are called complex movements. For example rolling rock blocks from higher elevations due to rockfalls may cause debris slides at lower elevations. Often landslide dams are formed because of a combination of movements of some of the following types - rock and earth slides, debris and mudflows, and rock and debris avalanches.

Table 12.1 Abbreviated version of Varnes' classification of slope movements

TYPE OF MOVEMENT			TYPE OF MATERIAL		
			BEDROCK	DEBRIS	SOILS
				Predominantly coarse	Predominantly fine
FALLS			Rock fall	Debris fall	Earthfall
TOPPLES			Rock topple	Debris topple	Earth topple
SLIDES	ROTATIONAL	FEW UNITS	-	Debris slump	Earth slump
			Rock block slide	Debris block slide	Earth block slide
	TRANSLATIONAL	MANY UNITS	Rockslide	Debris slide	Earth slide
SPREADS			Rock spread	Debris spread	Earth spread
FLOWS			Rock flow (deep creep)	Debris flow (soil creep)	Earthflow
COMPLEX			Combination of two or more principal types of movement		

Source: Modified from Varnes 1978

12.3 CAUSES OF LANDSLIDES

The various causative factors of landslides are listed below.

12.3.1 *Natural Factors*

- a. High relief or steep slopes.
- b. Undercutting of the banks by deeply incised rivers and streams.
- c. Extensive development of weak rocks such as phyllites, slates, and schists; presence of calcareous interlayers in these rocks which leads to high porosity and void formation due to leaching and dissolution.
- d. Heavily fractured rocks because of intense folding and faulting. As many as four systematic sets of fracture plus other random and stress relief joints are very common in mountainous countries.
- e. High weathering of the rocks.
- f. Concentrated precipitation.
- g. Seismic activity. The Himalayan Range lies in a high seismicity belt. Several active faults have been mapped. Landslides due to seismic loading are very common.

12.3.2 *Anthropogenic Factors*

a. *Deforestation*

Intensive deforestation has taken place in most parts of the *Himalaya*, excepting the *Higher Himalaya*, in the last decades.

b. *Improper Land Use*

This includes:

- agricultural practices on steep slopes,
- irrigation on steep and vulnerable slopes,
- overgrazing, and
- quarrying for construction material without considering the conditions of the terrain.

c. *Construction Activities*

Improper or total lack of terrain capability evaluation before placement of infrastructure on hill roads and canals, located in areas with high slope instability, with the result that hazards are common. An unlined

canal across a potential or active landslide or road cut in the tow of ancient landslide deposits are seen in many places. Maintenance of other infrastructures is either non-existent or very poor.

12.4 MAIN TRIGGERS OF MAJOR LANDSLIDES AND THEIR CONTROL

Landslides are the result of the interaction of geological and geographical environment. Earthquakes and rainstorms constitute two of the most important landslide-inducing agents.

12.4.1 *Earthquake-induced Landslides*

Earthquakes usually cause many large-scale landslides, some of which block rivers forming lakes. China is a country that has had many earthquakes. From 780 B.C to 1976 A.D., there have been as many as 656 earthquakes of $M > 6.0$. Earthquake-induced landslides were caused by 33.5 per cent of the total shocks in China, excluding earthquakes in marine areas, in Xizang and Taiwan (Feng and Guo 1985). Apart from the earthquakes themselves (i.e., seismic accelerations, duration of shock, focal depths, and angle and direction of the approach of seismic waves), the environmental factors, such as geology and landform, play a important part in the formation of landslides induced by earthquakes. This is why some smaller earthquakes induced far more landslides than other large earthquakes. Geological structure and the lithological character of rocks influence the landslides. Landslides are commonly seen on the slopes and spurs of mountains cut by faults. On slopes consisting of loosened limestone and igneous rocks, falls occur readily, but on slopes consisting of claystone, shale, and phyllite, falls are few in number.

The type of slope and the slope angle have a great influence upon landslides and falls. Straight slopes seldom have landslides and falls, but they are, however, common on the convex, concave, and complex slopes. The places where the landslides or falls occur are mostly steeper areas of slopes. The statistical data gathered from the earthquake areas of China from 1973 to 1976 give us part of the story: landslides were not found on slopes with a slope angle of less than 25° . Ninety per cent of the landslides occurred on slopes with a slope angle ranging from 30° to 50° . In most cases, falls happened on slopes with a slope angle of $67^\circ - 75^\circ$. Such figures would be of great help in selecting a relative safety zone in an earthquake prone area. The relative safety zone should be in a region with a slope angle of less than 25° in the mountains.

Earthquakes have acted as triggers to many landslides and ground failures in some parts of Nepal. The landslides in Bhajang can be cited as examples. The mountainous parts show seismically triggered slumps, debris slides, and rockfalls, whereas the Terai Region suffers from spreads, liquefaction, quicksand conditions, and sandboils. Moreover, there is evidence of landslides taking place during the following monsoon from colluvium loosened by the previous year's earthquake. Apart from these, the critical stage of toe undercutting of marginally stable slopes, especially with the older slide deposits, by floods and debris torrents as well as breaching of landslide dams, can be taken as secondary landslide triggers.

12.4.2 *Rainfall-induced Landslides*

Rainfall is another important landslide trigger. There is a direct correlation between the amount of rainfall and the incidence of landslides, as indicated below.

- a. If cumulative precipitation of the area amounts to about 50 mm to 100 mm in one day, and daily precipitation is more than 50 mm, somewhat small-scale and shallow debris landslides will occur.
- b. When the cumulative precipitation over 2 days amounts to about 150 mm, and daily precipitation is about 100 mm, the number of landslides has a tendency to increase with precipitation.
- c. When cumulative precipitation exceeds 250 mm over two days and has an average intensity of more than 8 mm per hour in one day, the number of large and vast landslides increase sharply.

12.5 PREVENTION AND CONTROL OF LANDSLIDES

The prevention and control works actually carried out in the landslide areas are based on the following concepts: Firstly, a great deal of importance is placed on human life, secondly, on public structures and buildings, road traffic, and prevention of river flooding if a landslide dams a river. For the sake of convenience, landslides can be arbitrarily divided into two groups; those occurring on artificial slopes and those occurring on natural slopes. The term artificial slope implies not only man-made or constructed slopes but also those that have been partly excavated or formed by human activities. It, therefore, includes levée, dam, reservoir bank, road slope, and mining spoils. Due to their locations, landslides occurring on natural slopes in wildland or forested watersheds receive less attention from the public. These landslides are not usually subject to treatment unless they endanger railways, roads, buildings, reservoirs, or other important installations. The control works for these two kinds of landslide are therefore somewhat different. Of course, some control works can certainly be carried out on both types, but, in general, artificial slopes receive more intense treatment than natural slopes. Measures of landslide control are summarised below.

A. For artificial slopes

- a. Avoidance:
Relocation, bridging, tunnelling (or open-cut and cover tunnel).
- b. Surface Drainage:
Drainage channels or ditches, prevention of leakages, cleaning natural ditches.
- c. Sub-surface drainage:
Drainage tunnels, counterfort trenches, deep-seated counterfort drains, vertical drill holes, horizontal boreholes, slope seepage ditches, drainage wells of ferro-concrete, drainage wells of liner plates.
- d. Supporters:
Retaining wall, anchor retaining wall, cribworks, gabions, piling works.
- e. Excavation:
Removal, flattening, and benching.

- f. River structural work:
Check dams, revetment, spur dikes.
- g. Other methods:
Vegetation, blasting, and hardening.

B. For natural slopes

- a. River structural work:
Check dams, revetment, groin, dikes.
- b. Benching and diversion.
- c. Revegetation, grass seeding, afforestation.

12.6 LANDSLIDE-DAMS

The natural damming of rivers by landslides is a significant hazard in many areas. Landslide-damming is particularly common in the high rugged mountains of the Hindu Kush-Himalayan Region. Many landslide-dams have failed catastrophically, causing major downstream flooding and loss of life in this area.

12.6.1 *Causes of Landslide-Dams*

Landslide-dams are common in the steep, narrow valleys of high rugged mountains because these valleys require relatively small amounts of material to form blockages. Landslide-dams can be caused by a broad range of mass movements in different physiographical settings. Most landslide-dams are formed by rock and earth slumps and slides, debris and mudflow, and rock and debris avalanches. A very few have been caused by rock and soil falls or by slope failure (liquefaction) in sensitive clays.

Schuster and Costa (1986) found that the two most important causes behind the initiation of landslide-dams are excessive precipitation (rainfall and snowmelt) and earthquakes. Volcanic eruptions constitute a minor landslide-dam forming process. Other mechanisms, including ice-dam failure, devegetation, and stream undercutting and entrenchment, account for very small percentages of triggering processes. Large landslide-dams are formed by large earth and rockslide/slumps and debris avalanches which commonly occur on steep slopes and attain high velocities that allow stream blockage before the material can be sluiced away by river action. Complex landslides that start as slumps or slides and break up into debris avalanches can also create large dams. The size of landslide-dams ranges in height from only a few metres to hundreds of metres. The size is controlled primarily by the volume of the mass failed down to the valley and the geometry of the valley. Two very large landslide-dams have blocked tributaries of the Yangtze River in Southwest China in this century: (1) the 255 m high Dixie Landslide-Dam, which failed in 1933, causing a catastrophic flood on the Min River that killed at least 2,423 people in three downstream counties, and (2) the 175 m high Tanggudong Landslide-Dam that blocked the Yalong River in 1967 and, upon failure by overtopping, causing a huge flood that travelled 1,000 km downstream (Li 1990).

12.6.2 *Failure of Landslide-Dams*

Landslide-dammed lakes may last for several minutes or several thousand years, depending upon many factors, including volume, texture, sorting of the dam material, rates of seepage through the dam, and rates of the sediment and water flow into the newly formed lake. A study of 73 cases of landslide-dam failure, for which the times of failure are known, indicates that 27 per cent of the dams failed within 1 day of formation; 41 per cent failed within 6 months; and 85 per cent failed within 1 year of formation.

Because of the lack a protected spillway, landslide-dams commonly fail by over-topping followed by breaching from erosion by the overflowing stream. A very small percentage of landslide-dam failures contributes to seepage and piping or slope failure of the dam.

12.6.3 *Floods from Landslide-Dam Failure*

Landslide-dams create the potential for two very different types of flooding: (1) upstream flooding as the dam-lake fills and (2) downstream flooding as a result of the failure of the dam. The threat to life from upstream flooding is minimal because the water-rise behind the dam is relatively slow, but the property damage can be substantial as the basin of the natural impoundment is filled. Downstream floods resulting from the failure of landslide-dams are usually much larger than floods originating directly from snowmelt or rainfall and constitute a significant threat.

In 1841, hundreds of villages and towns were swept away by a large flood resulting from the failure of a landslide-dam at Lichan Gan, on the upper Indus River, North Pakistan. A Sikh army camp, close to the river, about 420 km downstream, was overwhelmed by the flood and about 500 soldiers were killed (Mason 1929).

It is usually possible to estimate accurately the extent and rate of upstream flooding from landslide-dams. Such estimates require knowledge concerning the height of the dam, crest rates of streamflow into the dam-lake, rates of seepage through or beneath the dam, and information on topography upstream from the dam. For the rapid assessment of downstream flood potential, the peak discharge of downstream flooding can be estimated by the regression equation given by Costa and Schuster (1987), namely:

$$Q = 0.063 PE^{0.42}$$

where,

Q is peak discharge in cubic metres per second and PE is potential energy in joules.

The potential energy is the specific energy of the lake water behind the dam prior to failure and can be computed as the product of dam height (metres), volume (cubic metres), and specific weight of water (9,800 newtons/cubic metre).

12.6.4 *Methods of Preventing Landslide-Dam Failure*

In recent years, construction of control measures has been attempted on many landslide-dams, as soon as possible after formation, to prevent dam failure and subsequent flooding. Spillways are the most simple and most common method. A well-known example of a successful spillway across a landslide-dam


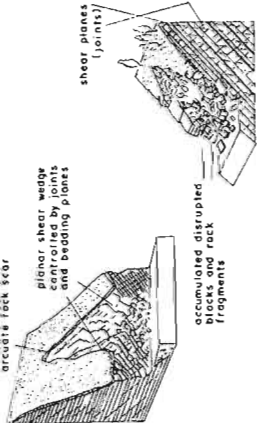
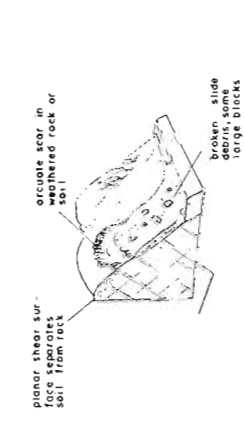
is the spillway constructed in 1959 by the U.S. Army Corps of Engineers on the Madison Canyon landslides, Montana (Harrison 1974). Pipes, tunnel outlets, and diversions have also been used to prevent dam failure and control discharge from the landslide-dam lake in many countries. A famous example is the 2,590m long, 3.4-4m diameter gravity-flow outlet tunnel through Tertiary tuffaceous and volcanic flow rocks composing the ridge immediately west of Spirit Lake in the U.S.A. (Sager and Chambers 1986). Excavation of this tunnel was done by TMB (tunnel boring machine) technique. This outlet tunnel permitted the lake level to be drawn down permanently to an elevation of 1,048m resulting in a safe lake volume of approximately 259 million m³.

In a few cases, large-scale blasting is used to excavate new river channels through landslide dams. In 1984, this technique was used to excavate a channel through the Zhougu Dam on the Bailong River in Gansu Province, China (Li 1990).

12.7 GLACIAL LAKE OUTBURST FLOODS

Glacial lake outburst floods (GLOF) are debris torrents resulting from a sudden and catastrophic release of water from a lake of glacial origin. The lakes are usually impounded by glacial ice or moraine. GLOF are generated either by the overtopping of lake water by ice or moraine, or by seepage and piping under these dams, resulting in their ultimate collapse. GLOF are common in glaciated mountain ranges, including the Himalayan Range. There are numerous lakes of glacial origin in the *Higher Himalaya* and all have different morphology, size, and type.

TABLE 12.2 Falls and slides

A - FALLS	CHARACTERISTIC FORM	MATERIAL	MOUNTAIN ZONES	COMMON FACTORS CONTRIBUTING TO INSTABILITY OR EROSION	TYPICAL SLOPE ANGLE	COMMON RATE OF MOVEMENT	SURVEY TOPICS	CONSTRUCTION AND REMEDIAL WORKS
1. Rockfall a. Rock slide 1) toppling 2) block slide 3) fall with component		Jointed I and M rocks, bedded jointed S rock like limestone, dolomite, soft rock, indurated, exposed to rapid undercutting Parameters: C : 0 φ : 0 δ : 0 ψ : 0 δ < dip J (in 2°), measure due to J	④ ⑤ sometimes in ⑥	1. Weathering and weakening discontinuities in cliff face 2. P through seepage in joints (and frost/ice action in high altitudes) 3. U including general stress relief effects of erosion 4. EO, blasting	45° to 90°	Extremely rapid	1 Structural study and lithology and permeability estimate 2 permeability estimate	- Slope support for deck collection - Draining - Trimming and scaling
B - SLIDES Translational a. Rock slide 1) wedge slide 2) block slide on bedding 3) major discont-inuity, very often in with wedge occurrence in M and S		Interbedded S rocks were argillaceous beds aligned parallel to bedding with a lesser angle. M rocks mostly foliated and shales. Any continuous joint can play the role of shear plane Parameters: C : 0 φ : 0 δ : 0 ψ : 0 1) δ < dip of failure plane 2) δ < dip angle of failure plane	④ ⑤ times in ⑥ Frequent in lesser Himalayas	1 W 2 Relation between bedding and slope producing unfavourable discontinuity pattern 3 U, including stress relief 4 P, (and frost-ice action in high altitude) 5 rock type 6 EO, blasting The failure hazard increases in steep slopes with a "fan" of wedge is present bedding a central dip-slip. Failures tend to be larger than expected (See Rock and debris slide hazard Mapping...)	30-60° sometimes block slides	Moderate to very rapid	1 Structural and lithological study 2 permeability estimate 3 Brief hydro-geology 4 Sometimes thickness of bedded rock depth through Seismic-Refraction	- Reduction of slope angle - Trimming and scaling - Restraining measures - Drainage of local springs - Erosion protection or buttress of toe
b. Debris slide slab slide when debris and stays intact		Cohesive, strongly weathered S.M.I. rocks, residual and colluvial soils. Local weathering zones of bedrock aligned parallel to the slope Parameters: C : 0 φ : 0 δ : 0 ψ : 0 1) Angle of slope 2) Angle of failure 3) Angle of failure (see factors).	④ ⑤	1 P 2 W 3 Often, the control of the debris failure and should thus be assimilated to that of rock slides 4 U 5 Upslope runoff/ground water raising moisture content in potential debris slide zones	25° - 45°	slow to rapid (debris avalanches)	1 Structural and lithological study 2 permeability estimate of rock and soil failed material 3 Brief hydro-geology 4 Rock face position failed or failed through Seismic-R and Resistivity	- Reduction of slope angle - Drainage - Cut-off shallow within slide zone - Erosion protection, gabion and afforestation - Previous buttress of toe or protection against erosion

Source: Varnes 1978, Fookes et al. 1984 and A. Wagner 1984 and 1988

TABLE 12.3 Slides and Flows

CHARACTERISTIC FORM	MATERIAL	MOUNTAIN ZONES	COMMON FACTORS CONTRIBUTING TO INSTABILITY ON SLOPE	TYPICAL SLOPE ANGLES	COMMON RATE OF MOVEMENT	SURVEY TOPICS	CONSTRUCTION AND REMEDIAL WORKS
<p>C. Mudsides</p> <p>labeled slides (back side shear) often promoted by freeze/thaw cycle (slip, clay soil)</p>	<p>Fine to medium S and isolated M weakened by intense weathering presence of ground-water Fine colluvial and soil (loose) (disintegrated)</p> <p>Parameters: C → 0, φ → 0, rather low</p>	<p>②</p>	<p>1 W 2 P 3 L and by other movement 4 U 5 Upslope runoff/groundwater, debris are content of debris in unit - slope zone</p>	<p>10°-30°</p>	<p>Very slow to fast</p>	<p>1 Soil study with estimate of pore pressure 2 Brief face position and water table 3 Position of the failure surface 4 Soil type and its effect on the failure surface 5 Seismicity and resistivity surveys</p>	<p>- Drainage through trench drain and - Erosion protection of road - Erosion protection of retaining channels within side and at toe - Vegetation</p>
<p>Relational</p> <p>variants: slump (rock), slump (soil)</p>	<p>Thick accumulation of soft rock, transitional soil, and rocks Homogeneous fine S rocks highly to completely weathered and interbedded coarse soil, fine silt-clay soils</p> <p>Parameters: C > 0, φ > 0, medium to low</p>	<p>②</p>		<p>15°-40°</p>	<p>Very slow to rapid</p>	<p>1 Soil for rock study with estimate of pore pressure 2 Brief hydrogeology 3 Position of the failure surface and the discharge of water 4 Seismicity and resistivity surveys</p>	<p>- Excavation of slope head - Drainage of perched water by vertical gravity drains or horizontal pipes - Erosion protection of the failure surface - Consider realignment for large active slide</p>
<p>C - FLOW</p> <p>1 Debris flow includes dry mass transport events</p>	<p>Mainly medium-coarse highly-weathered S, M, O (no carbonates) with abundant surface granular material</p> <p>Medium-coarse S and M (but not S)</p> <p>Parameters: C or rather small, φ medium to high</p>	<p>②</p>	<p>1 P and to rise water contents for viscous flow 2 W 3 Upslope runoff of water and sediment supply, adding to debris flow 4 E Q</p>	<p>20°-45° as angles but may run on flatter slopes</p>	<p>Rapid to fast</p>	<p>In potential failure zones estimate of thickness and water table 2 Seismicity and resistivity surveys</p>	<p>- Drainage in failure zone - Erosion protection for lawns and eroding channels within flow</p>
<p>2. Mudflow variant</p> <p>volcanic mud (Andes)</p>	<p>Mainly fine S and M, intense weathering in presence of groundwater</p> <p>Parameters: C and R, φ low</p>	<p>②</p>	<p>1 P and to rise water contents for viscous flow 2 W 3 Upslope runoff of water and sediment supply, adding to debris flow 4 E Q</p>	<p>10°-30°</p>	<p>Slow to rapid</p>	<p>In potential failure zones as above</p>	<p>- Drainage in failure zone - Erosion protection for lawns and eroding channels within flow - Consider realignment for large active flows</p>

Source: Varnes 1978, Fookes et al. 1984 and A. Wagner 1984 and 1988

